

PATENT



COPY OF PAPERS
ORIGINALLY FILED

ST 3 MP 8

ATTORNEY DOCKET: D3398-00033CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Aguais et al.

Serial No.: 10/051,905

Examiner: Not yet assigned

Filed: January 17, 2002

Group Art Unit: 2171

For: System and Method for Valuing and Managing the Risk of Credit Instrument
Portfolios

I CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN
ENVELOPE ADDRESSED TO THE ASSISTANT
COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231

ON April 12, 2002
Mary LaGrange

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION**

In response to the Notice to File Missing Parts of Non-Provisional Application, dated February 13, 2002, a response to which is due April 13, 2002, enclosed herewith is the original Combined Declaration and Power of Attorney document which has been executed by the inventor(s).

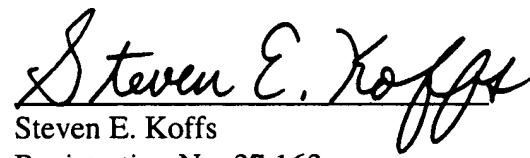
PATENT

ATTORNEY DOCKET: D3398-00033CIP

- [XX] A copy of the Notice to File Missing Parts of Application Filing Date Granted is enclosed.
- [XX] A check in the amount of \$130.00 is enclosed to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 04-1679.
- [] Please charge the surcharge in the amount of \$____ to Deposit Account No. 04-1679.

Respectfully submitted,

Dated: April 12, 2002


Steven E. Koffs
Registration No. 37,163

DUANE MORRIS LLP
One Liberty Place
Philadelphia, PA 19103-7396
215-979-1250 tel
215-979-1020 fax

PHI942837.1

PHI158177.1

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

JFJ

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/051,905	01/17/2002	Scott Aguais	D3398-00033

CONFIRMATION NO. 1722

FORMALITIES LETTER



OC00000007470362

FEB 20 2002

DUANE MORRIS LLP
One Liberty Place
Philadelphia, PA 19103

Date Mailed: 02/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

SEIOM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2002 HUJUNG1 00000059 10051905
130.00 0P
01 FC:105